

FY 2000-01 BUDGET ACT ALLOCATION FUNDING AND PROGRAM OVERVIEW

This document provides a brief program description for funds contained in the FY 2000-01 allocation. For complete programmatic and funding terms and conditions, refer to the State and County Negotiated Net Amount (NNA) and DMC contract and applicable Request for Applications agreements. Copies of documents can be obtained from the Department's Resource Center at 1-(800) 879-2772.

Federal Tracking

Beginning with FY 2000-01, the Department is tracking federal SAPT and SDFSC funds by grant award. Likewise, counties must track expenditures by grant award and set-aside. This allocation includes funds from two federal SAPT awards, the 2000 award and the 2001 award. The authorized expenditure period for the FFY 2000 award is October 1, 1999 through June 30, 2001. Funds from the FFY 2001 award are available for expenditure beginning October 1, 2000 through June 30, 2002. Title 42, U.S.C., Section 300x-62 requires that SAPT Block Grant funds be obligated by the end of the Federal Fiscal Year (FFY) for which they were appropriated and if obligated within such year remain available for expenditure until the end of the succeeding FFY. Since the grant award period for SDFSC matches the State Fiscal Year (SFY), only one line/column per SDFSC funding category appears in the Individual County Allocation Notice and in the Statewide Allocation Summary.

Unexpended Funds

Please note the unexpended federal funds appear throughout the allocation and are to be used for youth prevention and treatment services to the extent permissible under federal statutes and regulations and the Health and Safety Code. "Youth" is defined as persons under 21 years of age. Counties are to follow the *Interim Youth Treatment Guidelines* or the *Prevention Services Guidelines*. The unexpended federal funds subject to this provision are listed below.

SAPT Discretionary Unexpended Funds FY 1999-00 - \$9,656,376
Prevention Set-Aside Unexpended Funds From FY 1999-00 - \$3,016,348
Club Live (CL) Unexpended Funds From FY – 1999-00 - \$3,000
HIV Set-Aside Unexpended Funds From FY 1999-00 - \$1,497,858
Perinatal Set-Aside Unexpended Funds From FY 1999-00 - \$730,062
SAPT Special Projects Ongoing Unexpended Funds From FY 1999-00 - \$16,200
SAPT Special Projects Limited Term Unexpended Funds From FY 1999-00 - 15,500
Adolescent Treatment Program Unexpended Funds From FY 1999-00 - 3,640,362
SDFSC Community Prevention Unexpended Funds from FY 1999-00 - \$204,257
California Mentor Initiative Unexpended Funds From FY 1999-00 - \$257,911

STATE GENERAL FUND

SGF Drug Medi-Cal - \$40,696,000

Through an interagency agreement with the Department of Health Services, ADP administers, manages and finances the DMC program through contracts with counties and/or DMC providers. These funds are available to counties to meet the cost of DMC services to Medi-Cal beneficiaries.

SGF Contingency Reserve

The Department is authorized to fund a Contingency Reserve for DMC services with \$5 million of reappropriated regular and perinatal SGF. Actual amounts of funds available for the Contingency Reserve are not available at this time. We will notify counties when this information becomes available.

State Operated Services (Direct Contracts)

Any county that elects not to, or fails to, provide or contract for DMC covered services to eligible DMC beneficiaries in accordance with federal and state statutes will forfeit to the Department the SGF needed to match FFP to reimburse providers for these services. The Department will, in turn, use the SGF and contract directly with providers in that county for these services.

SGF Ongoing - \$24,728,000

These funds are available to counties to meet the cost of alcohol and other drug services. These funds cannot be used to match FFP in the DMC program.

Adult Treatment Services Gap - \$7,700,000

These funds are intended to address gaps in the Adult Treatment Services system.

SGF Special Projects

Currently no funds are to be allocated.

Perinatal SGF DMC - \$4,024,000

These funds are made available for Medi-Cal beneficiaries who are pregnant or within the prescribed postpartum period.

Perinatal Contingency Reserve

(See above "Contingency Reserve for DMC Services.")

Perinatal State Operated Services (Direct Contracts)

Any county that elects not to, or fails to, provide covered services to eligible DMC beneficiaries in accordance with federal and state statutes will forfeit its Perinatal SGF needed to operate

these services. The Department will contract directly with certified Perinatal DMC providers in that county for these services.

Perinatal SGF Ongoing - \$20,035,000

These funds for Perinatal SGF Ongoing and Perinatal Expansion Program have been combined. These funds are for programs serving populations of pregnant and postpartum women and their infants and parenting women and their children through 18 years of age. These funds cannot be used to match FFP in the DMC program.

***Note:** Alpine County chose not to participate and did not receive Perinatal SGF funds.*

Women and Children's Residential Treatment Services - \$6,100,000

The 2000 Budget Act appropriated a total of \$6.1 million to continue funding the existing Women and Children's Residential Treatment Services that began through the Federal Center for Substance Abuse Treatment grants but whose grants have since expired. These programs provide residential treatment services to women and their children. These funds cannot be used for purposes of match in the DMC program. The 10% county match requirement does not apply to these funds. These funds were identified as "Perinatal Grant" in FY 1998-99.

SGF Youth Treatment - \$5,700,000

These funds are to be used for youth treatment and are subject to the conditions set forth in the *Interim Youth Treatment Guidelines*.

REIMBURSEMENTS

Parolee Services Network - \$10,815,000

The California Department of Corrections (CDC) continues to make funds available during FY 2000-01 for residential and non-residential Alcohol and Other Drug (AOD) treatment and recovery services for men and women paroled to the community from state prisons. These services are available in the counties of Alameda, Contra Costa, Fresno, Kern, Los Angeles, Marin, Napa, Orange, Riverside, Sacramento, San Bernardino, San Diego, San Francisco, San Mateo, Santa Clara, Solano, and Sonoma.

FEDERAL TRUST FUNDS

SUBSTANCE ABUSE PREVENTION AND TREATMENT BLOCK GRANT FUNDS

25% FFY 2000 Award SAPT Discretionary - \$31,154,982
FFY 2000 SAPT Discretionary One-time Increase FFY 2000 - \$2,893,563
FFY 2000 SAPT Discretionary Unexpended Funds FY 1999-00 (FFY 2000) - \$9,656,376
100% FFY 2001 Award SAPT Discretionary - \$124,467,330

The SAPT Discretionary funds are for substance abuse treatment, prevention, and recovery services. The existing terms and conditions for use of the SAPT Block Grant funds continue to apply. The alcohol and drug program set-aside requirements for county expenditure of SAPT Block Grant funds remains at no less than 35 percent of the county's total allocation for alcohol treatment services and no less than 35 percent for drug treatment services. Federal law and regulations establish the requirements for expending these funds. Please refer to the SAPT Block Grant requirements in the FY 1998-99 Contract Documents Binder sent to counties on February 22, 1999, for details.

25% FFY 2000 Award Prevention Set-Aside - \$10,148,269
FFY 2000 Prevention Set-Aside One-time Increase - \$1,257,445
FFY 2000 Prevention Set-Aside Unexpended Funds From FY 1999-00 - \$3,016,348
100% FFY 2001 Award Prevention Set-Aside - \$40,745,677
FFY 2001 Prevention Set-Aside Ongoing Increase - \$1,257,445

The SAPT Prevention Set Aside funds support primary prevention services. Federal law and regulations establish the requirements for expending these funds.

25% FFY 2000 Award Friday Night Live (FNL) - \$138,000
100% FFY 2001 Award Friday Night Live (FNL) - \$552,000

The Friday Night Live (FNL) program is designed to prevent alcohol and drug use among teenagers through activities including community action, assemblies, and leadership training. The FNL is supported by SAPT Primary Prevention Set Aside Funds and are designated as prevention. Sufficient funding is available to counties wishing to start a FNL program in FY 2000-01.

Federal law and regulations establish the requirements for expending these funds. Please refer to the FY 1998-99 Contract Documents Package, dated February 22, 1999, for details. These funds are also subject to the terms and conditions of Document 1S – "Science-Based Practices in Substance Abuse Prevention."

25% FFY 2000 Award Club Live (CL) - \$138,000
FFY 2000 Club Live (CL) Unexpended Funds From FY – 1999-00 - \$3,000
100% FFY – 2001 Award Club Live (CL) - \$552,000

The Club Live (CL) prevention program is supported by the SAPT Primary Prevention Set Aside funds. These funds are designated to fund prevention services. Federal law and regulations establish the requirements for expending these funds. Please refer to the FY 1998-99 Contract

Documents Package, dated February 22, 1999, for details. These funds are also subject to the terms and conditions of Document 1S – “Science-Based Practices in Substance Abuse Prevention.” Sufficient funding is available to counties wishing to start a CL program in FY 2000-01.

25% FFY 2000 Award HIV Set-Aside – \$2,712,558
FFY 2000 HIV Set-Aside One Time Increase – \$314,361
FFY 2000 HIV Set-Aside Unexpended Funds From FY 1999-00 - \$1,497,858
100% FFY 2001 Award HIV Set-Aside – \$10,850,230
FFY 2001 HIV Set-Aside Ongoing Increase – \$314,361

Federal law requires five percent of the total Block Grant award to be expended on HIV Early Intervention (testing and counseling) services for clients in treatment programs. Federal law and regulations establish the requirements for expending these funds. Please refer to the FY 1998-99 Contract Documents Binder sent to counties on February 22, 1999, for details.

25% FFY 2000 Award Perinatal Set-Aside - \$3,888,500
FFY 2000 Perinatal Set-Aside Unexpended Funds From FY 1999-00 - \$730,062
100% FFY 2001 Award Perinatal Set-Aside - \$15,554,000

Counties may use the SAPT Perinatal Set-Aside funds to expand static capacity in existing perinatal programs, add new perinatal services or programs, and change existing programs. Counties must use these funds to maintain existing perinatal treatment capacity and programs, since the Department counts these funds toward the Perinatal Set-Aside. The Perinatal Program is part of the PSN and subject to the requirements set forth in the *Perinatal Services Network Guidelines – Fall 1997*.

25% FFY 2000 Award Female Offender Treatment Services - \$161,250
100% FFY 2001 Female Offender Treatment Services - \$645,000

The Female Offender Treatment Project provides substance abuse treatment services for CDC inmates paroling from the California Institution for Women. Funding has remained constant for this program.

The four counties participating in the Female Offender Treatment Project (Los Angeles, Orange, Riverside, and San Bernardino) are required to comply with the State-County contract terms and conditions, Document 1D.

25% FFY 2000 Award SAPT Special Projects Ongoing - \$72,000
FFY 2000 SAPT Special Projects Ongoing Unexpended Funds From FY 1999-00 - \$16,200
100% FFY 2001 Award SAPT Special Projects Ongoing - \$288,000
25% FFY 2000 SAPT Special Projects Limited Term - \$43,750
FFY 2000 SAPT Special Projects Limited Term Unexpended Funds
From FY 1999-00 - \$15,500
100% FFY 2001 Award SAPT Special Projects Limited Term - \$147,500

FFY 2001 funds for special projects are allocated to: Alameda County for the African American Women's Media Campaign (\$34,375) and for a limited term research project conducted by The Public Health Institute through June 30, 2001 (\$110,000); Los Angeles County for the Alcohol Center for Women (\$118,750), the Hispanic Women's Media Campaign (\$81,250) and the African American Women's Media Campaign (\$34,375); Modoc County for the Indian Health

Project (\$31,250); Shasta County for a limited term substance abuse coordinator through December 31, 2001 (\$81,250); and Tulare County for the Tule River Tribal Council (\$60,000).

**FFY 2000 SAPT Drug Court Related Substance Abuse Unexpended Funds
from FY 1999-00 - \$1,896,800**

The Drug Court-Related Substance Abuse Treatment funds were awarded to counties on a competitive basis to develop and expand drug court-related substance abuse treatment. FY 1999-00 was the last year of funding for this program.

In addition to the contractual requirements contained in the NNA contract, DC-RSAT funding is subject to the terms and conditions in the DC-RSAT Request For Applications released on December 11, 1997. Funding received under DC-RSAT shall be used only for those activities contained within the county's proposal submitted under the DC-RSAT Request for Applications.

25% FFY 2000 Award Adolescent Treatment Program - \$1,187,500

**FFY 2000 Adolescent Treatment Program Unexpended Funds
from FY 1999-00 - \$3,640,362**

100% FFY 2001 Award Adolescent Treatment Program - \$4,750,000

Assembly Bill 1784 (Baca, Chapter 866, Statutes of 1998), provides authority for the Adolescent Treatment Program. Twenty California counties determined to have the greatest need for adolescent substance abuse treatment are authorized to use these funds to provide comprehensive, age-specific services to substance abusing adolescents.

100% FFY 2001 Award Youth Treatment Discretionary - \$2,666,417

These funds are made available for youth treatment services and must follow the *Interim Youth Treatment Guidelines*.

**SAFE AND DRUG FREE SCHOOLS
AND COMMUNITY GRANT**

For FY 2000-01, the SFY 2000 funds are granted in two awards for two time periods: the SFY award of \$838,774 available for obligation and expenditure from July 1, 2000 through June 30, 2002, and the supplemental award of \$2,808,068, available for obligation and expenditure from October 1, 2000 through June 30, 2002. Funds from these awards must be tracked separately.

FFY 2000 Award SDFSC Community Based Prevention - \$838,774

Supplemental SFY 2000 Award SDFSC Community Based Prevention - \$2,808,068
SDFSC Community Prevention Unexpended Funds from FY 1999-00 - \$204,257

The SDFSC funds are limited to services for children and youth who are not normally serviced by the State or local education agencies (as defined in the SDFSC Act of 1994, as amended). It is also for populations that need special services or additional resources (such as preschoolers, youth in juvenile detention facilities, runaway or homeless children and youth, pregnant and

parenting teenagers, and school dropouts). The ten percent school, school district or community organization match is no longer required.

Use of all SDFSC grant funds must comply with requirements set forth in the SDFSC Act of 1994, as amended, Title IV of the Elementary and Secondary Education Act of 1965 (Public Law 103-382). These requirements are summarized in Document 1B and Document 1S of the State-County contract and include authorized use of funds, allowable administrative costs, levels of accountability and compliance with the Principles of Effectiveness.

FFY 2000 Award California Mentor Initiative - \$46,000

Supplemental SFY 2000 Award California Mentor Initiative - \$154,000

California Mentor Initiative Unexpended Funds From FY 1999-00 - \$257,911

Funds for this program are derived from the SDFSC grant to support local mentoring programs in providing long-term, supportive, mentoring relationships for youth living in at-risk environments. These grant awards have been made available to counties through an Application for Funding (AFF) process. Grant awards are one-time and may be expended over a twenty-four month period. The funds shall be expended in accordance with the SDFSC grant requirement, the terms and conditions of Document 1Q, "Conditions for Use of Funding Received Under the California Mentor Initiative Allocation," the CMI-AFF, the county-specific proposal, and any amendments to that proposal as included in the county's NNA or combined contract.